## SEC Form 4

(Street) **NEW YORK** 

(City)

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

$\Box$	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)
	Instruction 1(b).

(State)

(Zip)

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

#### OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours ner resn 0.5

Form filed by More than One Reporting

Person

Instruction 1(	b).		Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940	t					
1. Name and Address of Reporting Person <sup>*</sup> KURITZKES MICHAEL S			2. Issuer Name and Ticker or Trading Symbol HARBINGER GROUP INC. [HRG]		lationship of Reporting P k all applicable) Director Officer (give title	Person(s) to Issuer 10% Owner Other (specify			
	(First) IGER GROUP INC		3. Date of Earliest Transaction (Month/Day/Year) 11/29/2013		below) EVP and Gener	below) Ieral Counsel			
450 PARK AVENUE, 30TH FLOOR (Street) NEW YORK NY 10022		10022	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indi Line) X	V Form filed by One Departing Derean				

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Table 1 - Non-Derivative Securities Acquired, Disposed 01, of Beneficially Owned												
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr.4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
			Code	v	Amount (A) or (D)		Price	Transaction(s) (Instr. 3 and 4)		(1130.4)		
Common Stock	11/29/2013		Α		22,927	A	\$ <mark>0</mark>	47,927	D			
Common Stock	11/29/2013		F		6,975	D	\$12	40,952	D			
Common Stock	12/02/2013		Α		38,721	A	\$ <mark>0</mark>	79,673	D			

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(e.g., puis, cais, warants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Option (right to buy)	\$11.76	12/02/2013		A		24,750		(1)	12/02/2023	Common Stock	24,750	\$0	24,750	D	

Explanation of Responses:

1. The options with respect to 9,205 shares vested on the grant date, with respect to 9,205 shares vest on November 29, 2014, with respect to 3,170 shares vest on November 29, 2015 and with respect to 3,170 shares vest on November 29, 2016.

> 12/03/2013 /s/ Michael S. Kuritzkes

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.