FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL | | | | | | | | | | | | |
|--------------------------|-----------|--|--|--|--|--|--|--|--|--|--|--|
| OMB Number: | 3235-0287 | | | | | | | | | | | |
| Estimated average burden | | | | | | | | | | | | |
| hours per response: | 0.5 | | | | | | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| | | | | | | | | | _ | | _ | | | | | | | | | | |
|---|--|--|---|---------|---|--|-------|-----------|-----------------|--------------------------------|----------------------------|--------------------|--|----------------------------------|--|--|--|-------------------------------------|--|---|--|
| Name and Address of Reporting Person* Lewis Randal D. | | | | | | 2. Issuer Name and Ticker or Trading Symbol Spectrum Brands Holdings, Inc. [SPB] | | | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Officer (give title Other (specify | | | | | |
| | Last) (First) (Middle) C/O SPECTRUM BRANDS HOLDINGS, INC. 001 DEMING WAY | | | | | | | est Trans | ion (Mo | onth/E | Day/Year) | 7 | X Officer (give title below) below) EVP & COO | | | | | | | | |
| (Street) MIDDLETON WI 53562 (City) (State) (Zip) | | | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | | Line | Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | |
| | | Tab | le I - Nor | 1-Deriv | ative | e Se | curit | ies Ac | qui | ired, | Dis | posed o | f, or | Ben | eficial | y Owned | | | | | |
| 1. Title of Security (Instr. 3) 2. Transa Date (Month/D | | | | | ear) | 2A. Deemed Execution Date, if any (Month/Day/Year) | | ·, | Code (Instr. | | 4. Securities Acquired (A) | | | | 5. Amou Securitie Beneficia Owned F | es ally Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | | | | | | \[\frac{1}{2}\] | Code | v | Amount | | (A) or (D) | Price | Transact (Instr. 3 | tion(s) | | | (1130.4) | |
| Common Stock 11/2 | | | | | | /2020 | | | | M | | 10,260 | | A | (1) | 36,611 | | D | | | |
| Common Stock 11/21 | | | | | /2020 | | | | | A ⁽²⁾ | | 15,38 | 9 | A | (1) | 52 | 52,000 | | D | | |
| Common | Stock | 11/21/2020 F ⁽³⁾ 11,632 D \$65.1 40,368 D | | | | | | | D | | | | | | | | | | | | |
| | | 7 | Гable II - | | | | | | | | | sed of, onverti | | | | Owned | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution I if any (Month/Day | Date, T | 4. Transaction Code (Instr. 8) | | | | Exp | Date Ex piration onth/Da | Date | | | ecurities erlying vative S | ecurity | 8. Price of Derivative Security (Instr. 5) | 9. Numbe derivative Securities Beneficial Owned Following Reported Transactie (Instr. 4) | Owners Form: Direct or Ind (I) (Ins | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership ect (Instr. 4) | |
| | | | | | Code | de V (| | (D) | Dat Exe | | | Expiration Date | l o | | Amount or Number of Shares | | | | | | |
| Restricted Stock | (1) | 11/21/2020 | | | M | | | 10,260 | | (4) | | (4) | Com | | 10,260 | \$0 | 0 | | D | | |

Explanation of Responses:

- 1. Restricted stock units and performance stock units convert into common stock on a one-for-one basis upon vesting.
- 2. On January 17, 2019, the reporting person was granted 30,778 performance stock units, 15,389 of which vested on November 21, 2019. The remaining 15,389 performance stock units vested on November 21, 2020.
- 3. Represents the disposition or withholding of shares of Common Stock to the Issuer to satisfy the reporting person's tax liability resulting from the vesting of restricted units and performance stock units.
- 4. On January 17, 2019, the reporting person was granted 20,520 restricted stock units, 10,260 of which vested on November 21, 2019. The remaining 10,260 restricted stock units vested on November 21, 2020.

/s/ Rich Bretwisch, Attorneyin-Fact for Randal D. Lewis

12/04/2020

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.