SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL 3235-0287 OMB Number: Estimated average burden

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

			of Section So(n) of the investment Company Act of 1940						
1	ss of Reporting Perso	on [*]	2. Issuer Name and Ticker or Trading Symbol Spectrum Brands Holdings, Inc. [SPB]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Long Rebeck	<u>ah</u>				Director	10% Owner			
				x	Officer (give title	Other (specify			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)		below)	below)			
	M BRANDS HOL	DINCS INC	11/21/2020	SVP & Global HR					
1		DINGS, INC.							
3001 DEMING WAY				<u> </u>					
			4. If Amendment, Date of Original Filed (Month/Day/Year)		idual or Joint/Group Filing	g (Check Applicable			
(Street)				Line)					
MIDDLETON	WI	53562			Form filed by One Rep	orting Person			
					Form filed by More that	n One Reporting			
					Person				
(City)	(State)	(Zip)							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Disposed Of Code (Instr. 5)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)	
Common Stock	11/21/2020		М		342	A	(1)	2,012	D		
Common Stock	11/21/2020		A ⁽²⁾		513	A	(1)	2,525	D		
Common Stock	11/21/2020		F ⁽³⁾		383	D	\$ <mark>65.1</mark>	2,142	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	, (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(1)	11/21/2020		м			342	(4)	(4)	Common Stock	342	\$0	0	D	

Explanation of Responses:

1. Restricted stock units and performance stock units convert into common stock on a one-for-one basis upon vesting.

2. On January 17, 2019, the reporting person was granted 1,026 performance stock units, 513 of which vested on November 21, 2019. The remaining 513 performance stock units vested on November 21, 2020

3. Represents the disposition or withholding of shares of Common Stock to the Issuer to satisfy the reporting person's tax liability resulting from the vesting of restricted units and performance stock units. 4. On January 17, 2019, the reporting person was granted 684 restricted stock units, 342 of which vested on November 21, 2019. The remaining 342 restricted stock units vested on November 21, 2020.

> /s/ Rich Bretwisch, Attorney-12/04/2020 in-Fact for Rebeckah Long

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.