FORM 4

Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box if no longer subject to

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, | D.C. | 20549 | |
|-------------|------|-------|--|
|-------------|------|-------|--|

| STATEMENT | OF CHANGES | IN BENEFICIAL | OWNERSHIP |
|-----------|------------|---------------|-----------|
| | | | |

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>Lumley David R</u> | | | 2. Issuer Name and Ticker or Trading Symbol Spectrum Brands Holdings, Inc. [SPB] | | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | | | | |
|--|----------|------------|--|---------------------------------------|--|--|--|------------------------|---|--------|---|--|--|--|--|-------|---------------------|-----|--|--|
| | | | | opecular Dianas Holanigs, me. [3FD] | | | | | | | | X Director | | 10% Owner | | ner | | | | |
| (Last) | (Fir | st) (| Middle) | | | Date of Earliest Transaction (Month/Day/Year) | | | | | | | | below) | (give title | | Other (sp below) | , | | |
| C/O SPECTRUM BRANDS HOLDINGS, INC. | | | 11/ | 11/29/2013 | | | | | | | | CEO and President GB&PC H&G | | | | | | | | |
| 3001 DEM | IING WAY | - | | | | | | | | | | | | | | | | | | |
| | | | | | 4. If | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable | | | | | | |
| (Street) | | | | | | | | | | | | | Line) | | od by Ono | Donor | ting Person | | | |
| MIDDLE | ron wi | [5 | 53562 | | | | | | | | | | 7 | | | | One Reporti | ng | | |
| , | | | | | | | | | | | | | | Person | ed by More | ulali | Опе Керопі | ing | | |
| (City) | (Sta | ate) (| Zip) | | | | | | | | | | | | | | | | | |
| | | Tab | le I - Non | -Deriv | vativ | e Se | curities | Acc | juired, I | Disp | osed o | f, or Ber | neficially | Owned | | | | | | |
| Date | | | saction 2A. Deemed Execution Date, if any (Month/Day/Year) | | 3. Transaction Code (Instr. 8) 4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4) | | ed (A) or tr. 3, 4 and 5 | Beneficial Owned Fo | Forn lly (D) o | | n: Direct I or Indirect I nstr. 4) (| 7. Nature of ndirect Beneficial Ownership | | | | | | | | |
| | | | | | | | | Code | v | Amount | (A) or (D) | Price | Reported Transaction (Instr. 3 and | | | | (Instr. 4) | | | |
| Common Stock | | | | | | | | | | | | | 140,760 | | | D | | | | |
| | | - | Table II - [| | | | | | | | | or Bene ole secu | | Owned | | | | | | |
| 1. Title of Derivative Security 1. Title of Derivative Security 1. Title of Conversion or Exercise (Month/Day/Year) 2. Conversion Date (Month/Day/Year) 3. Transaction Date Execution Date if any (Month/Day/Year) | | ate, | 4. Transaction Code (Instr. 8) | | Derivative | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) | | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | | | | | |
| | | | | | Code | v | (A) | (D) | Date Exercisab | | Expiration Date | Title | Amount or Number of Shares | | (Instr. 4) | on(a) | | | | |
| Performance Rights ⁽¹⁾ | (2)(3) | 11/29/2013 | | | A | | 116,889 | | (2)(3) | | (2)(3) | Common Stock | 116,889 | \$0 | 116,88 | 39 | D | | | |
| Performance | (4) | | | | | | | | (4) | T | (4) | Common | 55,556 | | 55,55 | 6 | D | | | |

Explanation of Responses:

- 1. Each performance right represents a contingent right to receive one share of the Issuer's common stock.
- 2. Under the Spectrum Brands Holdings, Inc. 2014 Equity Incentive Plan ("2014 EIP"), Mr. Lumley was awarded 77,926 performance rights at 100% of performance targets. Up to 50% of such performance rights will vest within 74 days of the Issuer meeting certain adjusted EBITDA and consolidated cash flow performance targets for the fiscal year ending September 30, 2014 (the "2014 EIP Performance Vesting Date") (such amount, the "2014 EIP Performance Award"). In addition, an amount equal to the 2014 EIP Performance Award will vest on the first anniversary of the 2014 EIP Performance Vesting Date (such date, the "2014 EIP Service Vesting Date"), if Mr. Lumley remains employed by the Issuer on such first anniversary (such amount, the "2014 EIP Service Award").
- 3. Mr. Lumley shall also be eligible to receive up to 38,963 additional shares (the "2014 EIP Additional Award") if the Issuer meets certain adjusted EBITDA and consolidated cash flow performance targets in excess of 100% of the targets for the 2014 EIP Performance Award, for the fiscal year ending September 30, 2014. The 2014 EIP Additional Award will vest on the 2014 EIP Service Vesting Date if Mr. Lumley remains employed by the Issuer as of such date. The 2014 EIP Performance Award, the 2014 EIP Service Award and the 2014 EIP Additional Award together constitute the 2014 EIP Award. The amount reported of 116,889 represents the maximum number of performance rights that may vest if 150% of the applicable performance targets are achieved. If 100% of the applicable performance targets are achieved, then 77,926 performance rights will vest upon full vesting of the 2014 EIP Performance Award and the 2014 EIP Service Award.
- 4. 50% of the performance rights granted on December 7, 2012 under the Spectrum Brands Holdings, Inc. 2013 Equity Incentive Plan vested on November 25, 2013 (the "2013 EIP Performance Vesting Date") and such performance rights settled for 55,555 shares of the Issuer's common stock ("2013 EIP Performance Award"). In addition, an amount equal to the 2013 EIP Performance Award will vest if Mr. Lumley is employed by the Issuer through the first anniversary of the 2013 EIP Performance Vesting Date ("2013 EIP Service Award"). The 2013 EIP Performance Award and the 2013 EIP Service Award together constitute the 2013 EIP Award.

Remarks:

/s/ Nathan E. Fagre, attorney-in-

** Signature of Reporting Person

Date

12/03/2013

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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